

## FORMALITIES LETTER

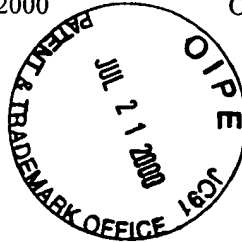


\*OC00000005149036\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/537,863	03/29/2000	Osamu Funahashi	2000 0402A

Wenderoth Lind & Ponack LLP  
Suite 800  
2033 K Street NW  
Washington, DC 20006



Date Mailed: 05/31/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$72.
  - \$72 for 4 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- **The balance due by applicant is \$ 332.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

07/24/2000 AGOITOM 00000063 09537863

PART 2 - COPY TO BE RETURNED WITH REPLY

01 FC:105	130.00 OP
02 FC:139	130.00 OP
03 FC:102	702.00 OP
04 FC:103	180.00 OP

Adjustment date: 08/15/2000 JWRSHING 0016313500  
07/24/2000 AGOITOM 00000063 09537863  
04 FC:103 -180.00 OP  
08/15/2000 JWRSHING 00000012 09537863  
01 FC:103 72.00 OP

Repl'n Ref: 08/15/2000 JWRSHING 0016313500  
DRI:230975 Name/Number:09537863  
FC: 704 \$108.00 CR

COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Osamu FUNAHASHI et al.

Serial No. 09/537,863

Filed March 29, 2000



Attn: BOX MISSING PARTS

Docket No. 2000\_0402A

SPEAKER APPARATUS AND SOUND  
REPRODUCTION APPARATUS

**REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

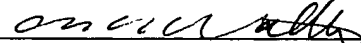
In response to the Notice to File Missing Parts of Application dated May 31, 2000, submitted herewith is the required Declaration and Power of Attorney and the English translation of the application.

Also enclosed are the PTO surcharge of \$260.00, required by 37 CFR 1.16(e), and a copy of the Notice to File Missing Parts.

Favorable action on the merits is now requested.

Respectfully submitted,

Osamu FUNAHASHI et al.

By:   
Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

CRW/asd  
WENDEROTH, LIND & PONACK, L.L.P.  
Washington, D.C. 20006  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
July 21, 2000



SECTOR \$  
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PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1,142.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration ..... \$130.00

Other: English Translation ..... \$130.00

Additional Claims Fee Transmittal Letter ..... \$882.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Osamu FUNAHASHI et al.

By Charles R. Watts  
Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

CRW/asd  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006  
Telephone (202) 721-8200  
July 21 2000

[Check No. 39044]

2000\_0402A

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Docket No. 2000\_0402A

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**ADDITIONAL CLAIMS FEE TRANSMITTAL LETTER**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application. Additional fees required as a result of this Amendment are calculated as follows:

	SMALL ENTITY		LARGE ENTITY
Total Claims exceeding 20 (not already paid for): 10 x	(\$ 9 = \$)	or	(\$18 = \$180.00)
Indep. Claims exceeding 3 (not already paid for): 9 x	(\$39 = \$)	or	(\$78 = \$702.00)
<input type="checkbox"/> Multiple Dep. Claim(s) (if there previously were none): +	(\$130 = \$)	or	(\$260 = \$)
Total Additional Fee =	<u>\$</u>	or	<u>\$882.00</u>

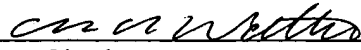
- ☐ Small entity status of this application is established by the verified statement under 37 C.F.R. 1.9 and 1.27 which
- ☐ is enclosed or
- ☐ has been previously submitted.

☒ [X] A check in the amount of \$882.00 is enclosed.

☐ [] Please charge Deposit Account No. 23-0975 the amount of \$\_\_\_\_\_ to cover additional fee. The Commissioner is authorized to charge any deficiency associated with this communication or to credit any overpayment to the Deposit Account. The original and two copies of this document are enclosed.

Respectfully submitted,

Osamu FUNAHASHI et al.

By   
Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

CRW/asd  
Washington, D.C.  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
July 21, 2000

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ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Osamu FUNAHASHI et al.

Serial No. 09/537,863

Filed March 29, 2000



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Attorney Docket No. 2000\_0402A

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PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

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Respectfully submitted,

Osamu FUNAHASHI et al.

By Charles R. Watts  
Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

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WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006  
Telephone (202) 721-8200  
July 21 2000

[Check No. 390144]  
2000\_0402A



PATENT

P22665US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: O. Funahashi et al. : Art Unit  
Serial No.: : Examiner  
Filed: March 29, 2000 :  
FOR: SPEAKER APPARATUS AND SOUND :  
REPRODUCTION APPARATUS :

VERIFICATION OF A TRANSLATION

Assistant Commissioner for Patents

Washington, D.C. 20231

SIR :

I, the below named translator, hereby declare that:

1. My name and post office address are as stated below.
2. That I am knowledgeable in the English language and in the language of the attached document, and I believe the attached English translation to be a true and complete translation of this document.
3. The document for which the attached English translation is being submitted is a patent application on an invention entitled  
SPEAKER APPARATUS AND SOUND REPRODUCTION APPARATUS
4. The foreign language document was filed in the U.S. Patent and Trademark Office on March 29, 2000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: May 12, 2000

Keiichiro Komatsu

Full name of the Translator

*K. Komatsu*

Signature of the Translator

c/o Matsushita Technical Information Service Co., Ltd.,  
Osaka Business Park, National Tower, 11<sup>th</sup> Floor,  
2-1-61, Shiromi, Chuo-ku, Osaka-shi, Osaka, 540 Japan

Post Office Address

Encl.: copy of originally filed specification  
Translation